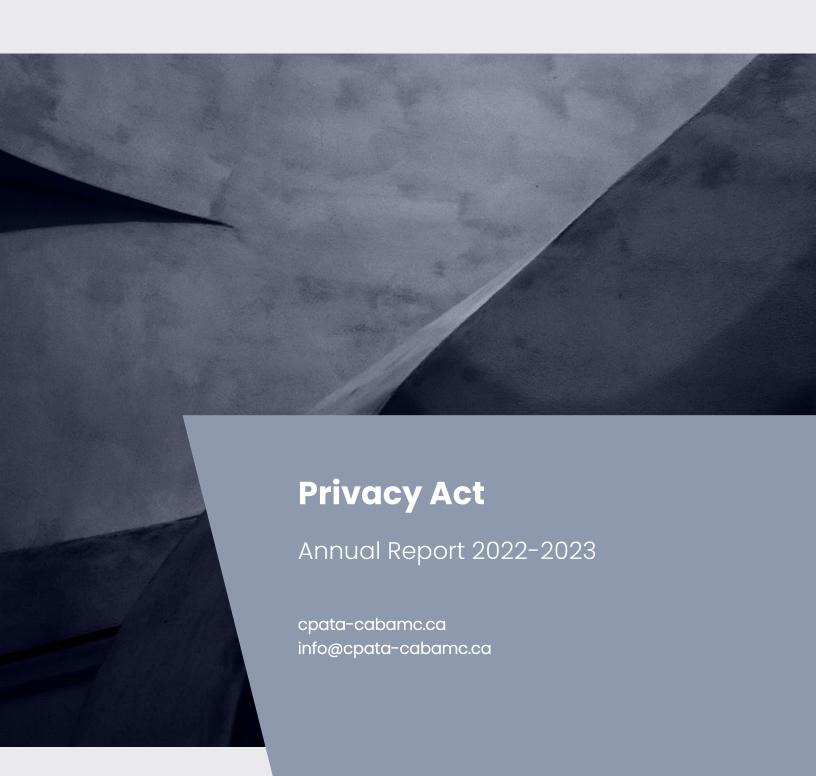


COLLÈGE DES AGENTS DE BREVETS ET DES AGENTS DE MARQUES DE COMMERCE



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# Introduction

Through this Annual Report on the *Privacy Act*, the <u>College of Patent Agents and Trademark Agents (CPATA)</u> presents to Parliament a summary of its activities in accordance with section 72 of the *Privacy Act*. CPATA is one of only a few independent regulatory bodies subject to federal legislation such as the *Privacy Act*.

The protection of confidentiality for licensees and members of the public who engage with CPATA is vital to the College's operations and credibility. Like other professional regulators, CPATA preserves the privacy rights of registrants as a tenet and hallmark of quality oversight of the patent and trademark profession. In this way, the regulatory standards, objectives and principles of CPATA align perfectly with the purpose of the *Privacy Act*, which "is to extend the present laws of Canada that protect the privacy of individuals with respect to personal information about themselves held by a government institution and that provide individuals with a right of access to that information". Unless information is required to be made public, such as the requirements to keep a Public Register of agents, agents in training and foreign practitioners (ss. 28 and 31 of the *CPATA Act*), it is treated as confidential, not be disclosed and to be used only to advance CPATA's work as a public interest regulator. Requirements for preservation of confidential information are embedded in the *CPATA Act* in ss.52, 65 and 66.

This report describes the activities taken by CPATA to support compliance with the *Privacy Act* during CPATA's second year of existence, from March 31, 2022 to March 31, 2023.

# Institutional Mandate

The Government of Canada enacted the <u>College of Patent and Trademark Agents</u> (<u>CPATA</u>) <u>Act</u> in 2018, as part of its National Innovation Strategy to position the country as a world leader in innovation.

As an independent regulator, CPATA protects the public interest by strengthening the competencies of patent agents and trademark agents, and building confidence in

accessible, ethical and expert intellectual property services in Canada. Our commitment to supporting the rigour and sophistication of the profession plays an important part in driving innovation and stimulating Canada's economic growth.

The College is responsible for protecting the public interest by:

- Setting competence standards for the profession and administering entry requirements that address those standards;
- Implementing the Code of Professional Conduct established by the Minister of Innovation, Science and Industry;
- Administering a fair and open process to respond to concerns about the competence or conduct of agents;
- Establishing expectations for liability insurance, continuing professional development, and pro bono requirements; and,
- Promoting innovation in the delivery of patent and trademark services.

CPATA has adopted the following regulatory objectives:

- 1. Protect and promote the public interest in patent and trademark services;
- 2. Protect those who use patent and trademark services;
- 3. Promote innovation in the delivery of patent and trademark services and the protection of intellectual property rights;
- 4. Improve access to, and promote competition in the provision of, patent and trademark services;
- 5. Promote the independence of the patent and trademark profession;
- 6. Oversee the ethical and competent delivery of patent and trademark services by licensees; and,
- 7. Promote equity, diversity and inclusion in the patent and trademark profession and in the delivery of patent and trademark services.

# Organizational Structure

CPATA is a small organization that is still in development. CPATA has a Board of Directors, five committees, and a small team of less than ten employees led by a CEO/Registrar.

CPATA's Board of Directors consists of five appointed and four elected Directors.

Appointed Directors are non-licensees who are appointed by the Honourable

François-Philippe Champagne, Minister of Innovation, Science and Industry. Elected

Directors are licensees who are elected from the profession to contribute IP subjectmatter expertise to the public interest mandate of CPATA's Board of Directors.

Most of CPATA's daily operational work is done by the CEO/Registrar and five staff, who handle interactions with licensees, trainees, and members of the public.

All the Privacy work is conducted by one Privacy and Access Officer, with some support from the rest of the CPATA staff.

# **Delegation Authority**

The Delegation Order dated February 17th (the "2023 Delegation Order") designates the Access to Information and Privacy Officer to exercise certain powers and perform certain duties and functions of the CEO under the Act and is attached hereto and forms part of this annual report (see Annex A).

# Performance 2022-2023

CPATA received and closed one formal request during the reporting period.

CPATA processed one informal request for access to personal information over the course of the fiscal year.

Of the 2 requests closed during the reporting period, CPATA processed one request in one to 15 days and one request within 16 to 30 days. The two requests were closed within their legislated deadlines. Requests were related to agent personal information in CPATA's systems.

Of the two requests closed, one had responsive documents, which were disclosed in full in electronic format. In one case, no records responsive to the request were located.



No exemptions were applied in the 2022-23 fiscal year.

CPATA received advice from a privacy consultant in the fiscal year with regards to one formal request.

# **Operating Costs**

The cost of delivering CPATA's Privacy program and services for 2022–2023 was \$29,438 based on salary costs and professional services contracts.

Salary costs for 2022–2023 totalled \$10,539, equating to 0.10 full-time employees, when averaged over the year. Professional Services contracts for the year totalled \$18,899.

# Training and Awareness

Through its training activities, CPATA continues to work towards its commitment to privacy vigilance. As CPATA is a fully virtual organization, Security and Privacy Training was prioritized to inform employees of any potential security or privacy breach risks while working from home.

This fiscal year, CPATA provided privacy training for new Board and Committee members as part of its privacy management program.

The objectives of the privacy training are for employees, committee members and Board members to:

- understand their obligations under the College's privacy policies,
- familiarize themselves with privacy principles and best practices that form the basis for CPATA's privacy management program, and
- understand how to apply these principles in order to protect individuals' privacy in carrying out work with CPATA.

All employees, board members, and providers handling personal information as part of carrying out services for CPATA must complete privacy training. The College will periodically review and update the privacy training based on significant changes to privacy legislation, best practices, or risks impacting CPATA.



# Policies, Guidelines, Procedures, and Initiatives

No new or revised privacy policies or guidelines were formally implemented during the last fiscal year. CPATA developed a Privacy Management Program in the 2021–2022 fiscal year to manage its privacy obligations. The program defines the privacy policies, procedures, and other controls needed to distribute privacy responsibilities, manage privacy risks and ensure compliance with applicable legislation. The privacy management program is intended to demonstrate accountability for the management of this information to the organization's stakeholders.

The main components of the Privacy Management Program include:

- Definitions of accountability, roles, and responsibilities for privacy
- Privacy Policies and Procedures to provide clear direction to employees, committee members, Directors, and service providers on acceptable information practices at the College
- Privacy statements and notices
- Completion of privacy impact assessments
- Standard privacy and security provisions to include in agreements with service providers who manage or act on the College's behalf with respect to personal information
- Privacy training materials
- Procedures for meeting annual federal government privacy and access reporting requirements
- Process for monitoring the effectiveness of the privacy management program and revising privacy controls as needed

# **Privacy Policies**

The table below describes the policies, procedures and other resources as part of CPATA's Privacy Management Program.

Policy	Policy Description
<u>Privacy Policy</u>	Describes how personal information in CPATA's custody or under its
	control is created, collected, retained, used, disclosed and disposed
	of in a manner that respects and complies with the <i>Privacy Act</i> and
	its Regulations and aligns with TBS privacy policies and directives.

Policy	Policy Description
-	CPATA upholds the privacy rights of individuals whose personal
	information is controlled by the College, in accordance with these
	requirements. The policy outlines the roles and responsibilities of
	the Board of Directors of the College, employees, the CEO of the
	College, and the Privacy Officer of the College.
<u>Website</u>	Describes the CPATA's practices for protecting the privacy of the
<u>Privacy</u>	personal information it collects from visitors to the College's
Statement	website and social media pages and describes ways in which
	CPATA collects, uses, discloses, and manages their personal
	information.
Agent Privacy	Describes how CPATA collects, uses, discloses, and protects the
<u>Statement</u>	personal information of patent agents, trademark agents and
	agents in training, their rights and choices with respect to that
	personal information, and how the College protects it.
Privacy	CPATA has a Privacy Notice framework to ensure it identifies the
Notices	purpose and the College's authority for collecting personal
	information from individuals, in accordance with the TBS Directive
	on Privacy Practices.
Privacy Inquiry	Describes how CPATA responds to inquiries or challenges regarding
Procedure	its information practices, including any alleged contravention
	of the <i>Privacy Act</i> , Regulations or College Privacy Policies.
Request for	Describes the process by which individuals may request access to
Access to or	their personal information in the custody and control of the College,
Correction of	pursuant to the <i>Privacy Act</i> , and the steps to be followed by CPATA
Personal	when individuals request access to or corrections to their personal
Information	information. This procedure was developed in compliance with the
Procedure	TBS Directive on Personal Information Requests and Correction of
	Personal Information.
Privacy	Outlines the steps that must be followed by anyone working for or
Breach	on behalf of CPATA with respect to personal information who
Management	discovers a possible breach of privacy related to personal
Protocol	information controlled by the College.
Procedure for	Includes the process for developing, updating and reviewing
Updating	privacy policies, privacy statements, privacy protocols, and privacy
privacy	notices.
policies and	
procedures	



# Initiatives and Projects to Improve Privacy

No new initiatives and projects to improve privacy were implemented during the last fiscal year.

# Summary of Key Issues and Actions Taken on Complaints

No complaints were received or concluded during the reporting period.

# **Material Privacy Breaches**

No material privacy breaches were reported to the Office of the Privacy Commissioner and to Treasury Board of Canada Secretariat (Information and Privacy Policy Division) during the reporting period.

# **Privacy Impact Assessments**

CPATA is in process of submitting the Privacy Impact Assessment (PIA) initiated in 2021 to the Treasury Board of Canada Secretariat (TBS). The PIA incorporates changes in the collection, use or disclosure of personal information, to ensure that its operations remain compliant with applicable privacy legislation, regulations and policies.

## **Public Interest Disclosures**

No disclosures were made under paragraph 8 (2)(m) of the *Privacy Act* during the reporting period.



# **Monitoring Compliance**

No monitoring was conducted during the reporting period.

# Conclusion

Care for confidentiality and privacy are foundational to effective professional regulation. As a modern, risk-based professional regulator, CPATA treats the personal information of its licensees, the public and other stakeholders with great respect.

As one of very few professional regulators subject to the *Privacy Act*, CPATA is committed to compliance, but is navigating unchartered territory. CPATA is not a governmental organization and does not benefit from the accrued expertise and resources of the federal network. CPATA welcomes advice regarding how to effectively meet its obligations under the *Privacy Act*.

In the coming years, the College will continue to dedicate resources and time to building the operational infrastructure necessary to protect the privacy rights of individuals, in accordance with its regulatory objectives, standards and principles, as well as its institutional values of confidentiality and accountability.



# ANNEX A – Delegation of Authority

# Access to Information and Privacy Act Delegation Order

I, undersigned, CEO of CPATA, pursuant to section 73(1) of the *Privacy Act* and s.95(1) of the *Access to Information Act* and s.22 of the *College of Patent and Trademark Agents Act*, hereby designate the Access to Information and Privacy Officer, or person occupying that position on an acting basis, to exercise signing authorities or perform any of the CEO's powers, duties or functions as head of institution that are specified in the attached Schedule B. This designation replaces all previous delegation orders.

Original signed by

Juda Strawczynski

Chief Executive Officer of CPATA

Date: 2023-02-17

# Schedule B - Sections of the *Privacy Act and the Access to Information Act and Regulations* to be delegated

Position	Powers, Duties or Functions
Access to Information and Privacy	Full Authority
Officer	

# ANNEX B - Statistical Report on the Privacy Act

Government of Canada du Canada

#### Statistical Report on the Privacy Act

Name of institution: College of Patent Agents and Trademark Agents

 Reporting period:
 2022-04-01
 to
 2023-03-31

#### Section 1: Requests Under the Privacy Act

#### 1.1 Number of requests received

		Number of Requests
Received during reporting period	2	
Outstanding from previous reporting periods		0
<ul> <li>Outstanding from previous reporting period</li> </ul>	0	
<ul> <li>Outstanding from more than one reporting period</li> </ul>	0	
Total		2
Closed during reporting period		2
Carried over to next reporting period		0
Carried over within legislated timeline	0	
Carried over beyond legislated timeline	0	

#### 1.2 Channels of requests

Source	Number of Requests
Online	0
E-mail	2
Mail	0
In person	0
Phone	0
Fax	0
Total	2

#### Section 2: Informal requests

#### 2.1 Number of informal requests

		Number of Requests		
Received during reporting period	1			
Outstanding from previous reporting periods	us reporting periods			
<ul> <li>Outstanding from previous reporting period</li> </ul>	0			
Outstanding from more than one reporting period				
Total		1		
Closed during reporting period	1			
Carried over to next reporting period		0		

#### 2.2 Channels of informal requests

Source	Number of Requests
Online	0
E-mail	1
Mail	0
In person	0
Phone	0
Fax	0
Total	1

#### 2.3 Completion time of informal requests

Completion Time									
1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total		
1	0	0	0	0	0	0	1		

#### 2.4 Pages released informally

Less Th	nan 100	100-500		501-1000		1001-5000		More Than 5000	
Pages Released		Pages R	Pages Released		Pages Released Pages Rele		eleased	Pages R	eleased
Number of Requests	Pages Released	Number or Requests		Number or Requests		Number of Requests		Number or Requests	
1	0	0	0	0	0	0	0	0	0

## Section 3: Requests Closed During the Reporting Period

#### 3.1 Disposition and completion time

	Completion Time								
Disposition of Requests	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total	
All disclosed	0	1	0	0	0	0	0	1	
Disclosed in part	0	0	0	0	0	0	0	0	
All exempted	0	0	0	0	0	0	0	0	
All excluded	0	0	0	0	0	0	0	0	
No records exist	1	0	0	0	0	0	0	1	
Request abandoned	0	0	0	0	0	0	0	0	
Neither confirmed nor denied	0	0	0	0	0	0	0	0	
Total	1	1	0	0	0	0	0	2	

#### 3.2 Exemptions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
18(2)	0	22(1)(a)(i)	0	23(a)	0
19(1)(a)	0	22(1)(a)(ii)	0	23(b)	0
19(1)(b)	0	22(1)(a)(iii)	0	24(a)	0
19(1)(c)	0	22(1)(b)	0	24(b)	0
19(1)(d)	0	22(1)(c)	0	25	0
19(1)(e)	0	22(2)	0	26	0
19(1)(f)	0	22.1	0	27	0
20	0	22.2	0	27.1	0
21	0	22.3	0	28	0
	•	22.4	0		•

#### 3.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
69(1)(a)	0	70(1)	0	70(1)(d)	0
69(1)(b)	0	70(1)(a)	0	70(1)(e)	0
69.1	0	70(1)(b)	0	70(1)(f)	0
		70(1)(c)	0	70.1	0

## 3.4 Format of information released

Paper	E-record	Data set	Video	Audio	Other
0	1	0	0	0	0

#### 3.5 Complexity

#### 3.5.1 Relevant pages processed and disclosed for $\underline{paper}$ and $\underline{e\text{-record}}$ formats

Num	nber of Pages	Processed	Number of Pages Disclosed	Number of Requests
	11		0	1

# 3.5.2 Relevant pages processed by request disposition for $\underline{\text{paper}}$ and $\underline{\text{e-record}}$ formats by size of requests

	Less Than 100 Pages Processed		100-500 Pages Processed		501-1000 Pages Processed		1001-5000 Pages Processed		More Than 5000 Pages Processed	
Disposition	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed
All disclosed	1	11	0	0	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0	0	0	0	0
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
Total	1	11	0	0	0	0	0	0	0	0

# 3.5.3 Relevant minutes processed and disclosed for $\underline{audio}$ formats

	Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
ſ	0	0	0

#### $3.5.4 \ Relevant \ minutes \ processed \ per \ request \ disposition \ for \ \underline{audio} \ formats \ by \ size \ of \ requests$

	Less than 60 Minutes processed		60-120 Minutes p	rocessed	More than 120 Minutes processed	
Disposition	Number of requests	Minutes Processed	Number of requests	Minutes Processed	Number of requests	Minutes Processed
All disclosed	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0
All exempted	0	0	0	0	0	0
All excluded	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0
Total	0	0	0	0	0	0

#### 3.5.5 Relevant minutes processed and disclosed for $\underline{\text{video}}$ formats

	Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
ľ	0	0	0

#### $3.5.6 \ Relevant \ minutes \ processed \ per \ request \ disposition \ for \ \underline{video} \ formats \ by \ size \ of \ requests$

	Less than 60 Minutes processed		60-120 Minutes pr	60-120 Minutes processed		rocessed
Disposition	Number of requests	Minutes Processed	Number of requests	Minutes Processed	Number of requests	Minutes Processed
All disclosed	0	0	0	0	0	0
Disclosed in part	0	0	0	0	0	0
All exempted	0	0	0	0	0	0
All excluded	0	0	0	0	0	0
Request abandoned	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0
Total	0	0	0	0	0	0

## 3.5.7 Other complexities

Disposition	Consultation Required	Legal Advice Sought	Interwoven Information	Other	Total
All disclosed	0	1	0	0	1
Disclosed in part	0	0	0	0	0
All exempted	0	0	0	0	0
All excluded	0	0	0	0	0
Request abandoned	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0
Total	0	1	0	0	1

#### 3.6 Closed requests

#### 3.6.1 Number of requests closed within legislated timelines

Number of requests closed within legislated timelines	2
Percentage of requests closed within legislated timelines (%)	100

#### 3.7 Deemed refusals

#### 3.7.1 Reasons for not meeting legislated timelines

	Principal Reason				
Number of requests closed past the legislated timelines	Interference with operations / Workload	External Consultation	Internal Consultation	Other	
0	0	0	0	0	

#### 3.7.2 Request closed beyond legislated timelines (including any extension taken)

Number of days past legislated timelines	Number of requests past legislated timeline where no extension was taken	Number of requests past legislated timeline where an extension was taken	Total
1 to 15 days	0	0	0
16 to 30 days	0	0	0
31 to 60 days	0	0	0
61 to 120 days	0	0	0
121 to 180 days	0	0	0
181 to 365 days	0	0	0
More than 365 days	0	0	0
Total	0	0	0

# 3.8 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

# Section 4: Disclosures Under Subsections 8(2) and 8(5)

Paragraph 8(2)(e)	Paragraph 8(2)(m)	Subsection 8(5)	Total
0	0	0	0

## Section 5: Requests for Correction of Personal Information and Notations

Disposition for Correction Requests Received	Number
Notations attached	0
Requests for correction accepted	0
Total	0

# Section 6: Extensions

#### 6.1 Reasons for extensions

		e with operations		15 (a)(ii				
	Further review							15(b)
	required to				Cabinet			Translation
	determine	Large volume of	Large volume of	Documents are	ConfidenceSection			purposes or
Number of extensions taken	exemptions	pages	requests	difficult to obtain	(Section 70)	External	Internal	conversion
0	0	0	0	0	0	0	0	0

#### 6.2 Length of extensions

		15(a)(i) Interferenc	e with operations		15 (a)(ii			
Length of Extensions	Further review required to determine exemptions	Large volume of pages	Large volume of requests	Documents are	Cabinet ConfidenceSection (Section 70)	External	Internal	15(b) Translation purposes or conversion
1 to 15 days	0	0	0	0	0	0	0	0
16 to 30 days	0	0	0	0	0	0	0	0
31 days or greater								0
Total	0	0	0	0	0	0	0	0

## Section 7: Consultations Received From Other Institutions and Organizations

#### 7.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Other Government of Canada Institutions	Number of Pages to Review	Other Organizations	Number of Pages to Review	
Received during the reporting period	0	0	0	0	
Outstanding from the previous reporting period	0	0	0	0	
Total	0	0	0	0	
Closed during the reporting period	0	0	0	0	
Carried over within negotiated timelines	0	0	0	0	
Carried over beyond negotiated timelines	0	0	0	0	

#### 7.2 Recommendations and completion time for consultations received from other Government of Canada institutions

	N	Number of Days Required to Complete Consultation Requests								
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total		
Disclose entirely	0	0	0	0	0	0	0	0		
Disclose in part	0	0	0	0	0	0	0	0		
Exempt entirely	0	0	0	0	0	0	0	0		
Exclude entirely	0	0	0	0	0	0	0	0		
Consult other institution	0	0	0	0	0	0	0	0		
Other	0	0	0	0	0	0	0	0		
Total	0	0	0	0	0	0	0	0		

7.3 Recommendations and completion time for consultations received from other organizations outside the Government of Canada

		Number of	days requi	ired to co	mplete co	nsultation	request	S
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
Disclose entirely	0	0	0	0	0	0	0	0
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

# Section 8: Completion Time of Consultations on Cabinet Confidences

#### 8.1 Requests with Legal Services

	Fewer Ti Pages Pr	seems seems	100-500 Proce		501-1 Pages Pro		1001- Pages Pr			nan 5000 rocessed
Number of Days	Number of Requests	Pages Disclosed								
1 to 15	1	11	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	1	11	0	0	0	0	0	0	0	0

#### 8.2 Requests with Privy Council Office

		ocessed	100–500 Proce	essed	501-1 Pages Pro	ocessed	1001- Pages Pr	ocessed	Pages P	nan 5000 Processed
Number of Days	Requests	Disclosed	Requests	Disclosed	Requests	Disclosed	Requests	Disclosed	Requests	Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

# Section 9: Complaints and Investigations Notices Received

Section 31	Section 33	Section 35	Court action	Total
0	0	0	0	0

## Section 10: Privacy Impact Assessments (PIAs) and Personal Information Banks (PIBs)

10.1 Privacy Impact Assessments

Number of PIAs completed	0
Number of PIAs modified	0

10.2 Institution-specific and Central Personal Information Banks

Personal Information Banks	Active	Created	Terminated	Modified
Institution-specific	0	0	0	0
Central	0	0	0	0
Total	0	0	0	0

## Section 11: Privacy Breaches

#### 11.1 Material Privacy Breaches reported

Number of material privacy breaches reported to TBS	0
Number of material privacy breaches reported to OPC	0

#### 11.2 Non-Material Privacy Breaches

Number of non-material privacy breaches	1
---	---

## Section 12: Resources Related to the Privacy Act

#### 12.1 Allocated Costs

Expenditures		Amount
Salaries		\$10,539
Overtime		\$0
Goods and Services		\$18,899
<ul> <li>Professional services contracts</li> </ul>	\$18,899	
Other	\$0	
Total		\$29,438

#### 12.2 Human Resources

Resources	Person Years Dedicated to Privacy Activities
Full-time employees	0.100
Part-time and casual employees	0.000
Regional staff	0.000
Consultants and agency personnel	0.180
Students	0.000
Total	0.280

Note: Enter values to three decimal places.

# ANNEX C - Supplemental Statistical Report on the Access to Information Act and Privacy Act

<b>*</b> *	Government of Canada	Gouvernement du Canada			
Supp	lemental S	tatistical Report on th	e Access	to Information Ac	t and the <i>Privacy Act</i>
Name	of institution	College of Patent Agents	and Tradem	ark Agents	_
Repor	rting period:	2022-04-01	_ to _	2023-03-31	_
Section	on 1: Capacity	to Receive Requests unde	the Access	to Information Act an	d the Privacy Act

Enter the number of weeks your institution was able to receive ATIP requests through the different channels.

	Number of Weeks
Able to receive requests by mail	52
Able to receive requests by email	0
Able to receive requests through the digital request service	0

#### Section 2: Capacity to Process Records under the Access to Information Act and the Privacy Act

2.1 Enter the number of weeks your institution was able to process paper records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Paper Records	52	0	0	52
Protected B Paper Records	52	0	0	52
Secret and Top Secret Paper Records	52	0	0	52

2.2 Enter the number of weeks your institution was able to process electronic records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Electronic Records	0	0	52	52
Protected B Electronic Records	0	0	52	52
Secret and Top Secret Electronic Records	0	0	52	52

## Section 3: Open Requests and Complaints Under the Access to Information Act

3.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2023	Open Requests that are <i>Beyond</i> Legislated Timelines as of March 31, 2023	Total
Received in 2022-2023	0	0	0
Received in 2021-2022	0	0	0
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016	0	0	0
Received in 2014-2015	0	0	0
Received in 2013-2014 or earlier	0	0	0
Total	0	0	0

Row 11, Col. 3 of Section 3.1 must equ 2022-2023 Statistical Report on the *Ac*α

3.2 Enter the number of open complaints with the Information Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints
Received in 2022-2023	0
Received in 2021-2022	0
Received in 2020-2021	0
Received in 2019-2020	0
Received in 2018-2019	0
Received in 2017-2018	0
Received in 2016-2017	0
Received in 2015-2016	0
Received in 2014-2015	0
Received in 2013-2014 or earlier	0
Total	0

# Section 4: Open Requests and Complaints Under the Privacy Act

4.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2023	Open Requests that are <i>Beyond</i> Legislated Timelines as of March 31, 2023	Total
Received in 2022-2023	0	0	0
Received in 2021-2022	0	0	0
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016	0	0	0
Received in 2014-2015	0	0	0
Received in 2013-2014 or earlier	0	0	0
Total	0	0	0

Row 11, Col. 3 of Section 4.1 must equ 2022-2023 Statistical Report on the *Pri*-

4.2 Enter the number of open complaints with the Privacy Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints	
Received in 2022-2023	0	
Received in 2021-2022	0	
Received in 2020-2021	0	
Received in 2019-2020	0	
Received in 2018-2019	0	
Received in 2017-2018	0	
Received in 2016-2017	0	
Received in 2015-2016	0	
Received in 2014-2015	0	
Received in 2013-2014 or earlier	0	
Total	0	

Section 5: Social Insurance Number		
Has your institution begun a new collection or a new consistent use of the SIN in 2022-2023?	No	]
Section 6: Universal Access under the Privacy Act		
How many requests were received from confirmed foreign nationals outside of Canada in 2022-2023?	0	Row 1, Col. 1 of 5 Section 1.1 of the

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