

## CPATA Regulatory and Strategic Framework 2023–25

<p><b>Purpose</b></p>	<p>To regulate patent agents and trademark agents in the public interest, in order to enhance the public’s ability to secure the rights provided for under the Patent Act and the Trademarks Act (<i>CPATA Act</i>, s.6)</p>
<p><b>Vision</b></p>	<p>Public access to a globally respected body of patent agents and trademark agents who are highly skilled, ethical, and current in their knowledge</p>
<p><b>Regulatory Objectives</b></p>	<p>To advance its role as a risk-focused, modern public interest regulator, the College has adopted as its Regulatory Objectives that it will strive to:</p> <ol style="list-style-type: none"> <li>1. protect and promote the public interest in patent and trademark services;</li> <li>2. protect those who use patent and trademark services;</li> <li>3. promote innovation in the delivery of patent and trademark services and the protection of intellectual property rights;</li> <li>4. improve access to and promote competition in the provision of patent and trademark services;</li> <li>5. promote the independence of the patent and trademark profession; and</li> <li>6. oversee the ethical and competent delivery of patent and trademark services by licensees.</li> <li>7. promote equity, diversity and inclusion in the patent and trademark profession and in the delivery of patent and trademark services.</li> </ol>

## CPATA's Regulatory and Strategic Framework 2023-25

<b>Priorities: Strengthening the regulatory foundations</b>	<b>Organizational and regulatory infrastructure</b>	<b>Professional Development and Competency</b>	<b>Professional Regulation</b>	<b>Relationships and Communications</b>	<b>Governance</b>
<p><b>Strategic Initiatives</b></p>	<p><b>Infrastructure</b> Continue to build necessary regulatory infrastructure ex: Agent portal and annual reporting (Ongoing); Records retention policy</p> <p><b>Compliance, regulatory and ERM</b></p> <ul style="list-style-type: none"> <li>- Continue to meet federal legislative requirements</li> <li>- Continue to refine compliance and enterprise risk policies (Ongoing)</li> </ul> <p><b>EDI and Indigenous Reconciliation</b></p> <p>Develop CPATA's Equity, Diversity and Inclusion, and Indigenous strategies (2024)</p>	<p><b>Competencies</b></p> <p>Develop IP agent competencies (2023-24)</p> <p><b>Exams</b></p> <p>Update to assess competencies (2024)</p> <p><b>Licensing paths</b></p> <p>Begin exploration of potential new entryways into practice (Start 2023)</p> <p><b>CPD</b></p> <p>Consider policy approach to CPD (2024)</p>	<p><b>Code of Conduct</b></p> <p>Educate (2023), evaluate (start 2024) and update (TBD)</p> <p><b>Continue to develop complaints and discipline experience (with types of cases informing next steps)</b></p> <p><b>IP Agent Privilege</b></p> <p>Support the continued evolution of IP agent privilege in a manner that protects clients in the public interest (Ongoing)</p> <p><b>Unauthorized practice (UAP)</b></p> <ul style="list-style-type: none"> <li>- Continue to monitor and address</li> <li>- Consider developing a formal UAP framework (2023-24)</li> </ul>	<p><b>Relationships</b></p> <p>Develop relationships with IP agents, associations, organizations, post-secondary institutions, legal service regulators (Ongoing)</p> <p><b>Government</b></p> <p>Continue working with the Government of Canada (GoC) and CIPO to properly carry out our mandate (Ongoing)</p> <p><b>Communicate the value of Canada's approach</b></p> <p>Communicate the value of agent regulation to IP agents, government and others (Ongoing)</p>	<p><b>Fiscal responsibility</b></p> <ul style="list-style-type: none"> <li>- Set appropriate fees and build contingency fund (2023-2025)</li> <li>- Develop Reserves and Investment Policies (2024)</li> <li>- Develop multiple year budget (2024)</li> </ul> <p><b>Effective Board governance</b></p> <ul style="list-style-type: none"> <li>- Refine nominations processes, update By-laws and governance policies (2023-24)</li> <li>- Enhance Director / Cttee education (Ongoing)</li> </ul> <p><b>Board Composition</b></p> <ul style="list-style-type: none"> <li>- Hold IP Agent Director elections (2024)</li> <li>- Work with GoC re GIC appointments (2024)</li> </ul>